

Regulation No.
 1110-1-3

Engineering & Design
 ARCHITECT-ENGINEER RESPONSIBILITY MANAGEMENT PROGRAM (AERMP)

1. Reference. ER 715-1-20, Architect-Engineer Contracting, Chapter 7, dated 21 Mar 97.
2. Purpose. This regulation identifies procedures for Little Rock District execution of the AERMP in accordance with the reference regulation, and is not to be construed to allow any deviation from the policies therein.
3. Assignments. Personnel in the following positions are assigned the responsibilities specified in paragraph 7-3:
 - a. A-E Responsibility Administrator (AERA) - Chief, Engineering & Technical Services Division
 - b. A-E Responsibility Coordinator (AERC) - Chief, Program Support Section
 - c. A-E Responsibility Management Review Board (AERRB):

Chairperson	Chief, Engineering & Technical Services Division
Voting Members	Chief, Construction Branch, and District Counsel
Executive Secretary	Chief, Program Support Section

4. Execution. Appendix A shows the flow of a modification through the Little Rock District AERMP process. Results of the preliminary review by the Lead Designer (LD) or Project Manager (PM) of code 1 modifications designed by A-E shall be on SWL 156-R (FL) (Appendix B). If the result of the preliminary review is design deficiency, a recommendation on the merits of a case for pursuit of damages against the A-E shall be made on SWL 156a-R (FL) (Appendix C). The TM or LD will include a synopsis of facts including any additional information to assist the AERRB in making a determination on whether to pursue any further action.



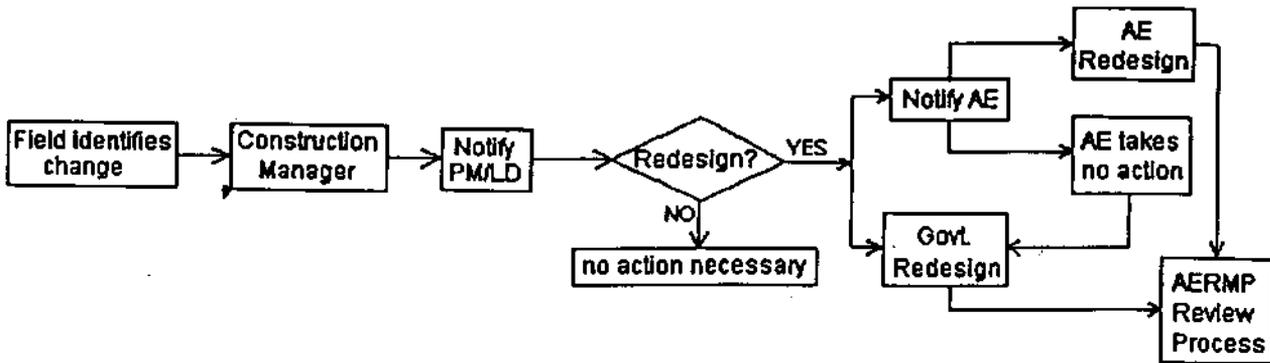
THOMAS A. HOLDEN JR.
 Colonel, Corps of Engineers
 District Engineer

- 3 App
1. App A, AERMP Flowchart
 2. App B, SWL 156-R (FL)
 3. App C, SWL 156a-R (FL)

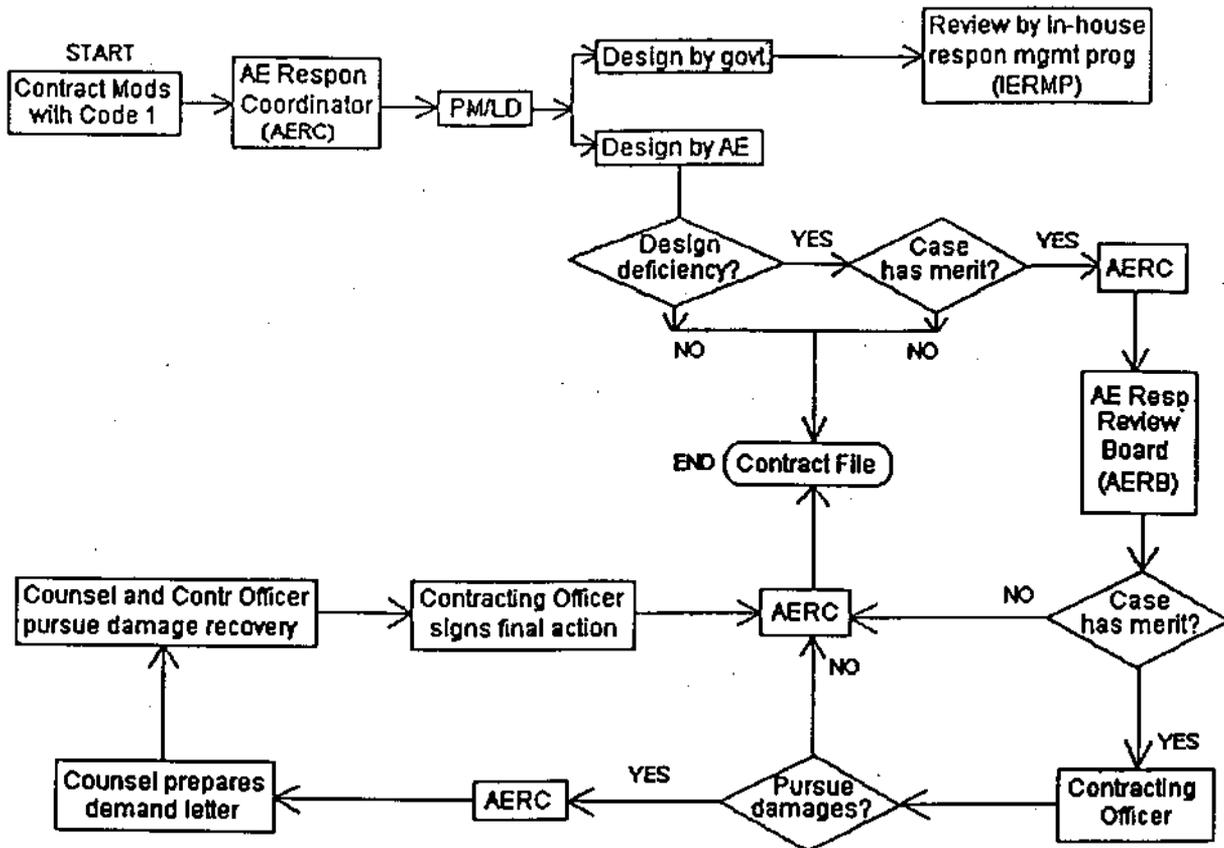
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This office regulation supercedes SWLOM 1110-1-3, dated 15 Oct 91.

REDESIGN AND AE NOTIFICATION PROCESS



AE RESPONSIBILITY MANAGEMENT PROGRAM REVIEW PROCESS



CESWL-ET-SP (1110)

MEMORANDUM FOR

SUBJECT: A-E Responsibility Preliminary Review, Modification _____
to Contract No. _____

Request you provide a review of the enclosed modification, complete the 1st endorsement, and return to the A-E Responsibility Coordinator no later than _____.

Encl
Modification

A-E Responsibility Coordinator

CESWL-_____ 1st End

Date: _____

FOR A-E Responsibility Coordinator

1. The modification was reviewed by _____

2. Classify Reason for Modification:

Code

1 Design Deficiency. No action against A-E is warranted.

1 Design Deficiency. Sufficient basis exists to initiate recovery action against the A-E.

Other: V,4,5,6,7,8,9,Q,E,G,S (Circle correct mod reason code).

Remarks: _____

Encl

Project Manager/Lead Designer

Date

CESWL-ET-SP (1110)

MEMORANDUM FOR A-E Responsibility Management Program Coordinator

SUBJECT: Evaluation of Modification for A-E Liability, Modification _____

1. Design A-E firm was _____, Contract No. _____,
Delivery Order No. _____. Design was completed _____.

2. Evaluation of Modification. If the answer to any of the following questions is "no", then the remaining questions in the paragraph need not be addressed.

No . Yes

- ___ ___ a. Is the mod the result of a design deficiency?
- ___ ___ b. Is the design deficiency the result of an action by the A-E?
- ___ ___ c. Is the design deficiency the result of professional negligence?
- ___ ___ d. Was the Government damaged by the design deficiency?

If the answer to any of the above questions is "no", then the A-E has no construction cost liability, and the recommendation must be "Case has no merit" in paragraph 4.

3. Design Responsibility. If the answer to 2.b. was "yes", the following must be answered.

No Yes

- ___ ___ Was the A-E informed of the situation and given the opportunity to correct the design? If not, why not? _____
- ___ ___ Did the A-E correct the error or omission at no cost to the Government?

4. Recommendation: ___ Case has no merit. ___ Case has merit.

5. Remarks: _____

2 Encl

- 1. SWL 156-R (FL)
- 2. Modification

Project Manager/Lead Designer

Date